

Appendix 3 – Internal Audit Resource Analysis (1st April 2013 to 24th January 2014)

	Original Plan Budget (Days)	Expected to Date (Days)	Actual to Date (Days)
Gross Days	3861	3107	3115
Uncontrollable Days			
Bank Holidays	106	84	81
Annual Leave	456	377	380
Net Available Days	3299	2646	2584
Days available for direct audits and support work Available for Projects			
Main Reviews/Spot Checks	1400	1203	708
Follow-up's	100	83	67
2012/13 Plan C/fwd	180	180	325
	1877	1465	1100
Risk Management			
Corporate Risk Management	148	122	132
Ad hoc on-demand support/advice (risks & controls)	128	106	87
Chamberlain Business Continuity Support	5	4	3
Anti-Fraud & Corruption			
Fraud Investigations	239	198	309
Pro-active fraud & prevention	74	61	43
Audit Planning & Reporting			
Audit Planning & Reporting	49	41	57
Audit Plan progress reporting	51	42	44
External Audit Liaison/Co-ordination	10	8	9
Efficiency & Performance Review			
Support to Efficiency Board/EPSC	35	29	41
Officer Groups (Information management, Information Liaison, Transport Groups)	17	14	12
Audit Development			
Continuous Improvement	64	53	22
Audit policy, research and development	56	46	29
Audit intranet	3	2	3
Member Support			
COL Audit & Risk Management Committee	28	23	94
GSMD Audit & Risk Management Committee	6	5	6
London Councils - Audit Committee	6	5	3
Museum of London - Audit Committee	6	5	8
Police Performance & VFM Committee	3	2	7
Barbican Centre Risk/Finance Committee	6	5	7
	934	772	915

Admin Support

General (e.g. time recording/staff meetings/staff monitoring)*	236	195	384
MK Audit Automation Software	15	12	29
Other Absences**	104	86	77
Audit Training***(including Apprentice College release)	80	66	110
Corporate Training	18	15	18
CIPFA & IIA Training	35	29	23

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* includes running recruitment campaigns and office move

** sickness /medical appointments/City volunteering/Jury Service